



Attorney's Docket No.: 042390.P6899

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ronald M. Smith, et al.)
Application No.: 09/475,653)
Filed: December 30, 1999)
For: AN INTELLIGENT BASED E-MAIL)
NOTIFICATION SYSTEM)

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed 2/10/00, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on 4-26-00

(Date of Deposit)

Jolene MacGregor
(Typed or printed name of person mailing correspondence)

Jolene MacGregor
(Signature of person mailing correspondence)

05/03/2000 1012AM 00000106 09475653

02 FC:105

130.00 0P

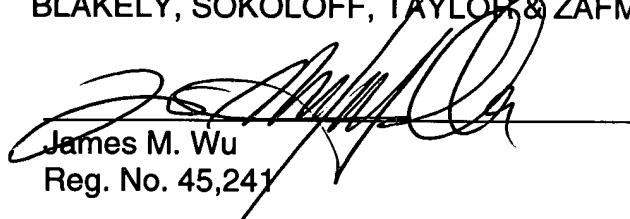
(3) a copy of the Notice to File Missing Parts of Application;

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/26/2000



James M. Wu
Reg. No. 45,241

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598



FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 240.00

Complete if Known:

Application No. 09/475,653Filing Date December 30, 1999First Named Inventor Ronald M. Smith et al.Group Art Unit 2782Examiner Name Not Yet AssignedAttorney Docket No. 042390.P6899

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. X Payment Enclosed

X Check

 Money Order

 Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee	Fee		
101	760	201	380	Utility application filing fee			
106	310	206	155	Design application filing fee			
107	480	207	240	Plant filing fee			
108	760	208	380	Reissue filing fee			
114	150	214	75	Provisional application filing fee			
				SUBTOTAL (1)	\$		

2. CLAIMS

		<u>Extra</u>	<u>Fee from</u>	<u>Fee Paid</u>
Total Claims		- 20 =	X 18.00	=
Independent Claims		- 3 =	X 78.00	=
Multiple Dependent Claims			X	=

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee	Fee		
103	18	203	9	Claims in excess of twenty			
102	78	202	39	Independent claims in excess of 3			
104	260	204	130	Multiple dependent claim			
109	78	209	39	Reissue Independent claims over original patent			
110	18	210	9	Reissue claims in excess of 20 and over original patent			
				SUBTOTAL (2)	\$		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	380	216	190
117	870	217	435
118	1,360	218	680
128	1,850	228	925
119	300	219	150
120	300	220	150
121	260	221	130
138	1,510	138	1,510
140	110	240	55
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
			Petition to Institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,210	241	605
			Petition to revive unintentionally abandoned application
142	1,210	242	605
			Utility Issue fee (or reissue)
143	430	243	215
			Design Issue fee
144	580	244	290
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Petitions related to provisional applications
126	240	126	240
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	760	246	380
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	380
			For each additional invention to be examined (see 37 CFR 1.129(a))
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (3) \$ 240.00

*Reduced by Basic Filing Fee Paid

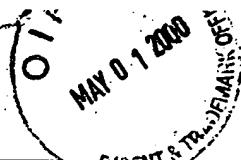
SUBMITTED BY:

Typed or Printed Name: James M. Wu

Signature  Date 4/26/2000

Reg. Number 45,241 Deposit Account User ID

(complete if applicable)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/475,653 12/30/99 SMITH

R 42390-P6384

0232/0210

JAMES M WU
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES CA 90025

2782

DATE MAILED:

02/10/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

small entity (statement filed) non-small entity is \$ 130.

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ 130 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

05/24/2000 SSANDARA 00000003 09475653

\$ 130.00 for total claims over 20. 01 FC:105
\$ 130.00 for independent claims over 3.

\$ 130.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

11/12/2000 09:22AM 00000003 09475653
FC:105